

## Appendix 5 Risk Register

## Private Rented Sector Regulation Policies

As at: November 2023

Ref	Title	Risk Description	Opp / Threat	Cause	Consequence	Risk Treatment	Date Raised	Owner	Gross		Current			Target		Comments	Control / Mitigation Description	Date Due	Action Status	% Progress	Action Owner
									P	I	P	I	Score	P	I						
1	Legal Challenge	Current policies are not in line with current guidance and relevant precedents	Threat	Dutyholders challenge enforcement decisions and cite out of date policies	Enforcement actions are prolonged, unsuccessful or ineffective. Increase in costs for the Council and reputational damage	Reduce	20/11/23	David Butler	1	2	3	2	6	2	1	Current risk is low, however will increase over time if policies are not regularly reviewed to ensure in line with current guidance and relevant precedents	Review policies on a regular basis to ensure they are upto date and reflect precedents		Ongoing	90%	Gail Siddall
2	Inconsistency	Policies are applied inconsistently across the service	Threat	Service not acting in accordance with the policies	Enforcement brought in to disrepute, challenges to enforcement action	Reduce	20/11/23	David Butler	4	3	3	2	6	3	2	All officers dealing with enforcement in residential sector need to be aware of updated policies	All officers will be trained in applying policies, all final decisions approved by Senior Manager, consistency exercises undertaken at team meetings		Ongoing	80%	Gail Siddall
3	Equalities	Enforcement impacts unfairly on a group with protected characteristics	Threat	Service not acting in accordance with the policies	Enforcement brought in to disrepute, challenges to enforcement action	Reduce	20/11/23	David Butler	4	3	3	2	6	3	2	All officers dealing with enforcement in residential sector need to be aware of updated policies	Monitoring and scrutiny of enforcement action to identify impacts eg racial disparity. Officers reminded of need to consider circumstances of individuals when making enforcement decisions		Ongoing	90%	Gail Siddall

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